

# 14

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0037

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/457,109
Filing Date	12/07/1999
First Named Inventor	Darryl E. Rubin et al.
Art Unit	2176
Examiner Name	Nguyen, M.
Attorney Docket Number	003797.81487

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MK		US- 6,571,295 B1	05-27-2003	Sidana	
MK		US- 6,279,014 B1	08-21-2001	Schilit et al.	
INIC		US- 5,680,636	10-21-1997	Levine et al.	
MIK		US- 5,625,833	04-29-1997	Levine et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner  
Signature

Maulehanhnguyen

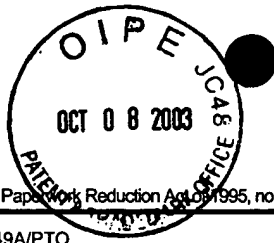
Date  
Considered

03/08/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08b(05-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

**Compleat If Kn wn**

Application Number	09/457,109
Filing Date	12/07/1999
First Named Inventor	Darryl E. Rubin et al.
Group Art Unit	2176
Examiner Name	Nguyen, M.
Attorney Docket Number	003797.81487

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**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MC		Hirotsu et al. "Cmew/U - A Multimedia Web Annotation Sharing System", NTT Network Innovation Laboratories - Japan, IEEE, 1999, pages 356-359.	
MK		Kuo et al., "A Synchronization Scheme for Multimedia Annotation", Multimedia Information Networking Laboratory, Tamkang University - Taiwan, IEEE, 1997, pages 594-598.	
MK		"Hucklefine Defined", 4/1990, "http://www.ylem.org/artists/mmasher/huck.html", pages 1-3	
MK		"Tour of Korea Hypercard Stack", 1996, Grose Educational Media, "http://www.entrenet.com/~groedmed/kor2.html", pages 1-2.	
MK		Bizweb2000.com - screenshots of an e-book, published on 5/1999, pages 1-4 "http://web.archive.org/web/"http://www.bizweb2000.com/eshots.htm".	

Examiner  
Signature

maukhanhnguyen

Date  
Considered

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	Computer User Interface Architecture Wherein Both Content and User Interface are Composed of Documents with Links
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Application Number: 09/457109  
Confirmation Number: 7310  
First Named Applicant: Darryl Rubin  
Attorney Docket Number: 003797.81487  
Art Unit: 2176  
Examiner: M Nguyen  
Search string: ( 5680636 or 5727129 or 5838914 or 5893132  
or 6049812 or 6195694 or 6199082 or 6486895  
or 6549220 or RE34476 or 5471568 or 5719595  
or 5940080 ).pn.

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Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
IMK	1	5680636	1997-10-21	Levine et al.			
IMK	2	5727129	1998-03-10	Barrett et al.			
IMK	3	5838914	1998-11-17	Carleton et al.			
IMK	4	5893132	1999-04-06	Huffman et al.			
IMK	5	6049812	2000-04-11	Bertram et al.			
IMK	6	6195694	2001-02-27	Chen et al.			

UK	7	6199082	2001-03-06	Ferrel et al.
UK	8	6486895	2002-11-26	Robertson et al.
UK	9	6549220	2003-04-15	Hsu et al.
UK	10	RE34476	1993-12-14	Norwood
UK	11	5471568	1995-11-28	Webb et al.
UK	12	5719595	1998-02-17	Hoddie et al.
UK	13	5940080	1999-08-17	Ruehle et al.

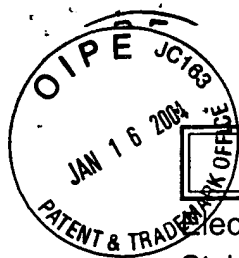
## Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(c). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Maulhaan Nguyen</i>	03/08/04



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of  
Invention

Computer User Interface Architecture Wherein Both Content  
and User Interface are Composed of Documents with Links

Application Number: 09/457109

Confirmation Number: 7310

First Named Applicant: Darryl Rubin

Attorney Docket Number: 003797.81487

Art Unit: 2176

Examiner: M Nguyen

Search string: ( 5437295 or 6018342 or 6647534 ).pn.



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Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Mk	1	5437295	1994-09-13	Agulnick et al.			
Mk	2	6018342	2000-01-25	Bristor			
ME	3	6647534	2003-11-11	Graham			

### Remarks

Note: Remarks are not for responding to an office action.

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the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(c). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Maikhanh Nguyen</i>	<i>03/08/04</i>